

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

First Named

Inventor : Andrei Pasocovici

Appln. No.:

Filed : Herewith

For : AUTOMATIC IDENTIFICATION OF  
TELEPHONE CALLERS BASED ON  
VOICE CHARACTERISTICS

Docket No.: M61.12-0598

Group Art Unit:

Examiner:

**INFORMATION DISCLOSURE STATEMENT**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

EXPRESS MAIL NO. EV178025161US  
DATE OF DEPOSIT: February 12, 2004

Sir:

The patents or publications listed on the enclosed PTO Form-1449 are submitted pursuant to 37 C.F.R. § 1.97. Copies of the patents or publications cited are enclosed, except as waived by the Official Gazette notice of August 5, 2003 regarding copies of US Patents and Publications.

**TIME OF FILING**

The information disclosure statement is being filed:

1.   X   with the application or within three months of the filing date of the application or date of entry into the national stage of an international application or before the mailing date of a first Office action on the merits, whichever event occurs last. In accordance with 37 C.F.R. § 1.97(b), no statement or fee is required.

The Director is authorized to charge any fee deficiency required by this paper or credit any overpayment to Deposit Account No. 23-1123.

Respectfully submitted,

WESTMAN, CHAMPLIN & KELLY, P.A.

By: David D. Brush  
David D. Brush, Reg. No. 34,557  
Suite 1600 - International Centre  
900 Second Avenue South  
Minneapolis, Minnesota 55402-3319  
Phone: (612) 334-3222  
Fax: (612) 334-3312

DDB/tkj

<b>FORM PTO-1449</b>	Atty. Docket No.: <b>M61.12-0598</b>	Appl. No.:
LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT	First Named Inventor:	
	<b>Andrei Pasovici</b>	
	Filing Date	Group Art:
	<b>Herewith</b>	

## U.S. PATENT DOCUMENTS

Examiner Initial	Document No.	Date	Name	Class	Sub Class	Filing Date If Appropriate
AA	6,141,641	10/31/00	Hwang et al.	704	243	
AB	6,629,073	9/30/03	Hon et al.	704	256	
AC	5,710,866	1/20/98	Alleva et al.	395	2.65	
AD						
AE						
AF						
AG						
AH						
AI						
AJ						
AK						

## FOREIGN PATENT DOCUMENTS

	Document No.	Date	Country	Class	Sub Class	Translation Yes No
AL						
AM						
AN						

## OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

AO	L.F. Lamel & J.L. Gauvain, "Speaker Verification Over the Telephone", <u>LIMSI-CNRS</u> , April 1998, pp. 76-79.
AP	Julia L. Heck & Cheyer A., "A Speaker Identification Agent", <u>AVBPA</u> , 1997, pp. 261-266.
	L. Lamel & Jean-Luc Gauvain, "Speaker Recognition With the Switchboard", <u>LIMSI-CNRS</u> , 1997, pp. 1-4.
AQ	E. Bernstein, D. McAllaster, L. Gillick & B. Peskin, "Recognizing Call-Center Speech Using Models Trained from Other Domains", <u>Workshop at University College Conference Center, University of Maryland</u> , 35 page pamphlet.
AR	D. Tran, M. Do, M. Wagner & T. V. Le, "A Proposed Decision Rule for Speaker Identification Based on a Posteriori Probability", <u>Human-Computer Communications Laboratory, Univeristy of Canberra</u> , April 1998, pp. 85-88.
AS	"Cisco Personal Assistant", <u>Cisco Product Catalog</u> , December 2003, pp.1-8.

EXAMINER:

DATE CONSIDERED:

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.